

PEP Transfer Application

1 Your Personal Details *This Section is compulsory. (BLOCK CAPITALS PLEASE)*

Title:	Surname:
Full Forename(s):	
Permanent Residential Address:	
Postcode:	Length of time at address:
Daytime Tel No:	
Email Address:	
Nationality:	Tax Identification Number:

Date of Birth - -

Do you have a National Insurance Number? Yes No

National Insurance Number

(You will find this on your payslip, P45, P60 or Pension Book)

If you have an existing investment product with us please tick this box

What is your Account Number?

IFA Details/Special Instructions

I confirm that I have appointed the above firm as my Financial Adviser. I acknowledge that, as a consequence of appointing this firm, I will no longer receive advice from my previous Financial Adviser (if applicable). I understand that the appointment of the above firm as my Financial Adviser will entitle this firm to any future commission payments relating to my Personal Equity Plan (PEP) rather than my previous Independent Financial Adviser.

Ref. No. (For official use only)

2 Your Choice of Investment

Please indicate below the percentage you wish to invest in each Newton sub-fund: (Minimum PEP Transfer investment required is £1,000).

Absolute Intrepid 'A'	<input type="text"/> %	Global Dynamic Bond	<input type="text"/> %	Japan	<input type="text"/> %
American	<input type="text"/> %	Global Higher Income	<input type="text"/> %	Managed	<input type="text"/> %
Asian Income	<input type="text"/> %	Global Opportunities	<input type="text"/> %	Oriental	<input type="text"/> %
Balanced	<input type="text"/> %	Growth	<input type="text"/> %	Pan-European	<input type="text"/> %
Continental European	<input type="text"/> %	Higher Income	<input type="text"/> %	Phoenix Multi-Asset	<input type="text"/> %
Equity & Bond	<input type="text"/> %	Income	<input type="text"/> %	Strategic Corporate Bond	<input type="text"/> %
European Higher Income	<input type="text"/> %	International Bond	<input type="text"/> %	UK Opportunities	<input type="text"/> %
European High Yield Bond	<input type="text"/> %	International Growth	<input type="text"/> %	Your Total Investment	100%

Note: Income will be automatically reinvested to maximise your investment unless Section 4 overleaf is completed. For Newton Phoenix Multi-Asset Fund, accumulation shares will be used unless Section 4 overleaf is completed.

3 Authority to Transfer Your PEP

Your details

Existing Plan No:

Type of PEP (please tick) Single Company PEP General PEP

Existing Plan Manager's Name:

Address:

Postcode:

Your Name:

Address:

Postcode:

Your instructions to the existing Plan Manager:

Please tick one box

(A) Please sell my total PEP investment and transfer the proceeds

OR

(B) Please sell part of my PEP investment **as detailed below** and transfer the proceeds

If you have selected (B) please specify details of the PEP investments you wish to sell

Shares/Fund Name	Sell (% of value)	Sell (value)
<input type="text"/>	<input type="text"/> %	<input type="text"/> £
<input type="text"/>	<input type="text"/> %	<input type="text"/> £
<input type="text"/>	<input type="text"/> %	<input type="text"/> £
<input type="text"/>	<input type="text"/> %	<input type="text"/> £
<input type="text"/>	<input type="text"/> %	<input type="text"/> £
<input type="text"/>	<input type="text"/> %	<input type="text"/> £

Please take this letter as my authority to immediately sell PEP investments as detailed above and to transfer the proceeds (after the deduction of any outstanding liabilities as prescribed under the Terms & Conditions relating to the PEP), including, where appropriate, uninvested cash, dividends, tax credits or the realisation proceeds or rights and entitlements accruing, or part of them to Mellon Fund Managers Limited, FREEPOST NAT22500, Brentwood, CM15 8BR as soon as possible. It is understood that you will be responsible for the collecting of any such dividends and tax reclaims together with the realisation of any such rights or entitlements. I authorise you to furnish Mellon Fund Managers Limited with full details of my PEP.

Signature: Date:

Please ensure you also complete Section 5 overleaf (Declaration and Authorisation)

4 Do you want to receive income from your investment?

Income will be automatically reinvested if this Section is **not** completed.

Please pay the income to my Bank/Building Society account as follows:

Bank/Building Society:	_____
Address:	_____ _____
Postcode:	_____
Name(s) of Account Holder(s)	_____ _____

Bank Sort Code

□□□	—	□□□	—	□□□
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Bank Account No.

□□□□□□□□

Building Society
Account No.

□□□□□□□□

Building Society
Collection Account No.

□□□□□□□□

5 Investment advice

If you have not received any investment advice with regards to this investment, please tick this box .
Please leave the box blank if you have received investment advice from a financial advisor.

6 Declaration and Authorisation (Please read this Section before signing and dating the Application – any changes made to the form must be initialled)

I declare that:

- All transfer proceeds belong to me
- I am/was resident and ordinarily resident in the United Kingdom for tax purposes when I took out my original PEP or, if not so resident, performed duties which, by virtue of Section 132 (4)(a) of the Income and Corporation Taxes Act 1988 (Crown employees serving overseas), were treated as being performed in the United Kingdom

I authorise Mellon Fund Managers Limited:

- To invest the transfer proceeds as specified in Section 2 overleaf and to hold my Plan investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- To make on my behalf any claims to relief from tax in respect of my Plan investments and to reinvest any amount claimed in my PEP
- On my written request to transfer or pay to me Plan investments, interest, dividends, rights or other proceeds in respect of such investments or any cash

I have received and read the Simplified Prospectus and Data Protection Statement and agree to the PEP Terms & Conditions. I declare that this Application Form has been completed to the best of my knowledge. I understand that this Application is subject to acceptance by Mellon Fund Managers Limited.

Signature:

Date:

Please note these fields are mandatory

Please send your completed Application Form to: Mellon Fund Managers Limited, FREEPOST NAT22500, Brentwood, CM15 8BR.

Please do not staple cheques or correspondence to the Application Form. Please note that any corrections must be initialled.

Data Protection Act 1998 – Use of your information

Mellon Fund Managers Limited (“Mellon”) is a data controller within the meaning of the Data Protection Act 1998. Mellon and its Associates (as defined in Section 52 of the Companies Act 1989) may use the personal information supplied to provide the service applied for, confirm/update customer records and to establish your identity. Mellon may also be required to transfer personal information to the Inland Revenue from time to time in order to process tax reclaims. Mellon may also be required to provide personal information to other government departments e.g. Department of Works & Pensions. To comply with Anti-Money Laundering Regulations we may need to request evidence of identity from you. We may use a credit reference agency for this purpose who will record that an enquiry has been made. You have the right of access to your personal data held by Mellon Fund Managers Limited, at any time, by contacting us in writing and upon payment of a fee. Please note that any redemption or cancellation proceeds may be withheld if the appropriate identity verification has not been provided. We would like to advise you, by mail and/or email, of other products and services being offered by us and our affiliated companies which may be of interest to you. If you do not wish to receive such information please tick the box . Mellon Fund Managers Limited. Authorised and regulated by the Financial Services Authority. A member of the IMA. Newton sub-funds are distributed by BNY Mellon Asset Management International Limited.